

IFW
✓

(PATENT)

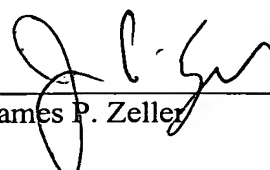
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Serial No. : 10/565,829
Applicants : Kim et al.
Filed : July 25, 2003
Title: METHOD OF
PREPARATION OF OPTICALLY
ACTIVE ALCOHOLS
Art Unit : To be assigned
Examiner : To be assigned
Atty. Docket : 29347/60195
Customer No. : 04743

Date of Deposit:
August 22, 2006

I hereby certify that this paper and the documents referred to therein are being deposited with the United States Postal Service with sufficient postage, as first class mail, in an envelope addressed to: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


James P. Zeller

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:


The documents listed on the enclosed Form PTO-1449 are identified pursuant to 37 CFR §§ 1.56, 1.97, and 1.98. Copies of the documents are enclosed.

Entry and consideration of the disclosed documents are solicited.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN LLP

By:


James P. Zeller
Reg. No. 28,491
Attorney for Applicants
6300 Sears Tower
233 South Wacker Drive
Chicago, IL 60606
(312) 474-6300

August 22, 2006



Form PTO-1449 (Modified)

U.S. Department of Commerce
Patent and Trademark OfficeAtty. Docket No:
29347/60195Serial No.
10/565,829**INFORMATION DISCLOSURE STATEMENT**

Applicant

Kim et al.

Filing Date
01/25/2006

Art Unit

U.S. PATENT DOCUMENTS

*Examiner Initials	Document Number	Issue Date	Name	Class	Subclass	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS

*Examiner Initials	Document Number	Publication Date	Country	Class	Subclass	Translation
						Yes No
	63-316744	12/26/1988	Japan			Abstract
	10-057094	03/03/1998	Japan			Abstract
	2001-058999	03/06/2001	Japan			Abstract
	1020010040121	05/15/2001	Korea			Abstract

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	Ayllon, et al., "Proton Transfer in Aminocyclopentadienyl Ruthenium Hydride Complexes," <i>Organometallics</i> , vol. 18, pp. 3981-3990 (1999)
	Persson et al., "Ruthenium- and Enzyme-Catalyzed Dynamic Kinetic Resolution of Secondary Alcohols", <i>J. Am. Chem. Soc.</i> , 121, pp. 1645-1650, (1999)
	Huerta, et al., "Dynamic Kinetic Resolution of α -Hydroxy Acid Esters," <i>Organic Letters</i> , vol. 2, no. 8, pp. 1037-1040 (2000)
	Lee et al., "Dynamic Kinetic Resolution of Allylic Alcohols Mediated by Ruthenium- and Lipase-Based Catalysts", <i>Organic Letters</i> , Vol. 2, No. 15, pp. 2377-2379 (2000)
	Choi et al., "Aminocyclopentadienyl Ruthenium Chloride: Catalytic Racemization and Dynamic Kinetic Resolution of Alcohols at Ambient Temperature", <i>Angew. Chem. Int. Ed.</i> , 41, No. 13, pp. 2373-2376 (2002)
	International Search Report of the International Searching Authority in PCT/KR2003/001494, bearing mail date April 20, 2004

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.